

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being transmitted to the Commissioner for Patents, Alexandria, VA, via the Office electronic filing system in accordance with 37 C.F.R. § 1.6(a)(4), on the date below:

December 23, 2009

Date

Dean C. Brehm

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS

Sir:


Applicants hereby assert small entity status for the matters listed below. Change of entity status occurred due to a change in the sub-licensing of the listed matters.

Application Number	Patent Number	Docket Number
09/561,108	6,342,221	3999.002584
09/561,005	6,703,020	3999.002585
09/561,526	6,416,758	3999.002586
10/738,404		3999.002587
60/131,432		3999.002590
09/998,831	6,676,941	3999.002599

Although no fees should be required, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct said fees from Peregrine Pharmaceuticals, Inc. Deposit Account No. 50-3493.

Respectfully submitted,

PEREGRINE PHARMACEUTICALS, INC.
Customer No. 000052101


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